
World Housing Encyclopedia

*an Encyclopedia of Housing Construction in
Seismically Active Areas of the World*



an initiative of
Earthquake Engineering Research Institute (EERI) and
International Association for Earthquake Engineering (IAEE)

HOUSING REPORT

Thathara houses in Himachal Pradesh

Report #	170
Report Date	06-03-2013
Country	INDIA
Housing Type	Others
Housing Sub-Type	Others: Hybrid System
Author(s)	Aditya Rahul, Ankita Sood, Yogendra Singh, Dominik Lang
Reviewer(s)	Jitendra K. Bothara, Kubilây Hiçyılmaz

Important

This encyclopedia contains information contributed by various earthquake engineering professionals around the world. All opinions, findings, conclusions & recommendations expressed herein are those of the various participants, and do not necessarily reflect the views of the Earthquake Engineering Research Institute, the International Association for Earthquake Engineering, the Engineering Information Foundation, John A. Martin & Associates, Inc. or the participants' organizations.

Summary

The addressed building type has been identified in Himachal Pradesh, a northern state in India. Nowadays, this type of construction practice can be seen for houses and temples, however, earlier photographs suggest that the same style was adopted to build palaces, bridges as well as various other structures. The construction style is named “Thathara” as this term is locally used for wooden planks that make the vertical load-carrying members (columns) locally known as Thola(s). Tholas (a peculiar combination of timber and stone) and wood are primarily used for the vertical and horizontal frame elements, respectively. The region where this building typology is found is characterized by cold climate and witnesses heavy rainfall during the rainy season (from June to July) as well as snowfalls in winter (from October to March). These effects have been considered well in the construction style, like e.g. small openings, a verandah to take sun but prevent from rain and snow, wooden and mud interiors which are good insulators and keep the interiors warm, sloping roofs with adequate projections as well as other features. Being located in the Himalayan region, the area has experienced numerous strong earthquakes and this construction technique has eventually evolved to resist seismic action.

1. General Information

Buildings of this construction type can be found in Ravi river valley in Chamba district of the state Himachal Pradesh in Northern India (Figure 2). Though very few Thathara houses can be seen in the town of Chamba itself, which is also the district headquarter, a fair number of these houses can be found in the villages. In fact, more or less all villages in the remote parts of this region have Thathara-style houses. Thathara style is a traditional construction technique being practiced from ancient times. Some structures can be dated back 400 years, but owing to ban on tree falling, this technique is outdated now due to the scarcity of wood. Moreover, people nowadays are more fascinated by building houses with new materials which require lesser maintenance and are more flexible in terms of planning. This type of housing construction is commonly found in rural, sub-urban and urban areas.

This construction type has been in practice for more than 400 years.

Currently, this type of construction is not being built.



Figure 1. Three-story Thathara house.

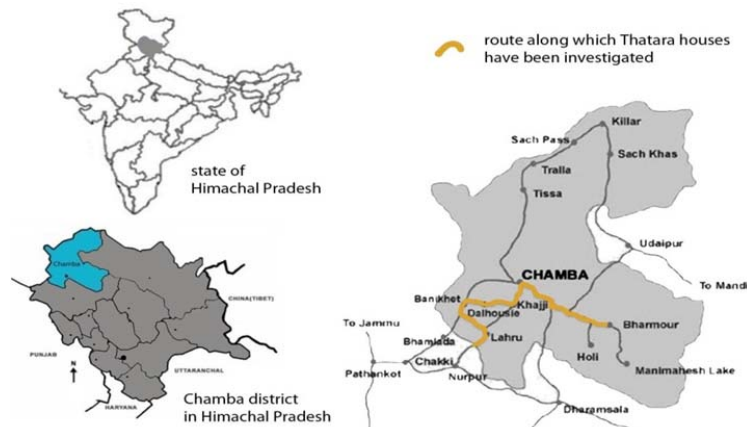


Figure 2. Location of the investigation area in Chamba district.

2. Architectural Aspects

2.1 Siting

These buildings are typically found in sloped and hilly terrain. They do not share common walls with adjacent buildings. The region of existence of these buildings is in the Indian Himalayas, where the land available is contoured in almost all the cases. Therefore, depending upon the slope of the site, the following two ways (Figure 3) are traditionally used to create a flat piece of ground: a) In case of a site with steep slope, usable flat land is created by making a gravity-retaining wall of (dry) stone masonry (plumb-wall) over which the construction is erected; b) In case of a site with a comparatively gentle slope, a sort of cut-and-fill technique is used, which enables the owner to have a small usable unit in the lower level as well (which is generally used as a shelter for cattle or storage space) and a larger usable space at the upper level. The houses are generally isolated and do not share any wall with the neighboring houses. The distance between adjacent houses varies from few centimeters to several meters. When separated from adjacent buildings, the typical distance from a neighboring building is between few cm to several meters.

2.2 Building Configuration

The houses are generally rectangular in plan with a verandah in the front. A typical house is of two to three storeys. Openings are of small size and sometimes holes are made in the wooden plank partitions for ventilation.

2.3 Functional Planning

The main function of this building typology is single-family house or often an extended family house. These buildings typically have two to three storeys, where the ground storey is used for cattle or for storage and the upper storeys are used for living. Usually a verandah is constructed in front of the top storeys in order to provide the occupants the possibility to stay outside during rain or snow fall. The rooms are partitioned by masonry/timber with small window openings (sometimes only a few holes are provided in the exterior walls for air circulation) to protect from the harsh weather. In a typical building of this type, there are no elevators and no fire-protected exit staircases. The typical building has no escape route at the rear.

2.4 Modification to Building

Earlier, no or only small windows provided cozy warm atmosphere inside. As the living styles and conditions have changed now, larger doors and windows have found their place in these houses in order to provide better ventilation and comfort. Moreover, nowadays various wall types (Figures 4-6) can be found due to the availability or unavailability of different building materials. In earlier days, wooden planks (Figure 7) were used to cover the sloping roofs, but nowadays thin slates have replaced them as roof cladding. Also, in order to create more living space the functional verandahs are being enclosed with walls and wooden frames (Figure 8).

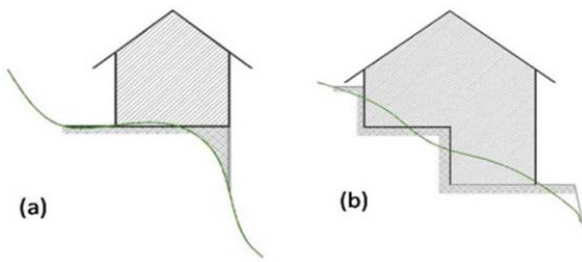


Figure 3. Site development on (a) a steep slope, and (b) a gradual slope.



Figure 4. The combined use of dry stone masonry, stones with mud mortar and fired brick stones with cement mortar at a single house.



Figure 5. Fired brick stones with cement mortar have replaced earlier wall materials.



Figure 6. Bricks without mortar have been used to replace the worn-out portion of a wall.



Figure 7. A Thathara house with the traditional roof covering type using wooden planks.



Figure 8. Verandah at ground floor has been enclosed by brick walls.

3. Structural Details

3.1 Structural System

Material	Type of Load-Bearing Structure	#	Subtypes	Most appropriate type
Masonry	Stone Masonry Walls	1	Rubble stone (field stone) in mud/lime mortar or without mortar (usually with timber roof)	<input type="checkbox"/>
		2	Dressed stone masonry (in lime/cement mortar)	<input type="checkbox"/>
	Adobe/ Earthen Walls	3	Mud walls	<input type="checkbox"/>
		4	Mud walls with horizontal wood elements	<input type="checkbox"/>
		5	Adobe block walls	<input type="checkbox"/>
		6	Rammed earth/Pise construction	<input type="checkbox"/>
	Unreinforced masonry walls	7	Brick masonry in mud/lime mortar	<input type="checkbox"/>
		8	Brick masonry in mud/lime mortar with vertical posts	<input type="checkbox"/>
		9	Brick masonry in lime/cement mortar	<input type="checkbox"/>
		10	Concrete block masonry in cement mortar	<input type="checkbox"/>
	Confined masonry	11	Clay brick/tile masonry, with wooden posts and beams	<input type="checkbox"/>
		12	Clay brick masonry, with concrete posts/tie columns and beams	<input type="checkbox"/>
		13	Concrete blocks, tie columns and beams	<input type="checkbox"/>
	Reinforced masonry	14	Stone masonry in cement mortar	<input type="checkbox"/>
		15	Clay brick masonry in cement mortar	<input type="checkbox"/>
		16	Concrete block masonry in cement mortar	<input type="checkbox"/>
Structural concrete	Moment resisting frame	17	Flat slab structure	<input type="checkbox"/>
		18	Designed for gravity loads only, with URM infill walls	<input type="checkbox"/>
		19	Designed for seismic effects, with URM infill walls	<input type="checkbox"/>
		20	Designed for seismic effects, with structural infill walls	<input type="checkbox"/>
		21	Dual system – Frame with shear wall	<input type="checkbox"/>
	Structural wall	22	Moment frame with in-situ shear walls	<input type="checkbox"/>
		23	Moment frame with precast shear walls	<input type="checkbox"/>
	Precast concrete	24	Moment frame	<input type="checkbox"/>
		25	Prestressed moment frame with shear walls	<input type="checkbox"/>
		26	Large panel precast walls	<input type="checkbox"/>
		27	Shear wall structure with walls cast-in-situ	<input type="checkbox"/>
28		Shear wall structure with precast wall panel structure	<input type="checkbox"/>	
Steel	Moment-resisting frame	29	With brick masonry partitions	<input type="checkbox"/>
		30	With cast in-situ concrete walls	<input type="checkbox"/>
		31	With lightweight partitions	<input type="checkbox"/>
	Braced frame	32	Concentric connections in all panels	<input type="checkbox"/>
		33	Eccentric connections in a few panels	<input type="checkbox"/>
	Structural wall	34	Bolted plate	<input type="checkbox"/>
		35	Welded plate	<input type="checkbox"/>

Material	Type of Load-Bearing Structure	#	Subtypes	Most appropriate type
Timber	Load-bearing timber frame	36	Thatch	<input type="checkbox"/>
		37	Walls with bamboo/reed mesh and post (Wattle and Daub)	<input type="checkbox"/>
		38	Masonry with horizontal beams/planks at intermediate levels	<input type="checkbox"/>
		39	Post and beam frame (no special connections)	<input type="checkbox"/>
		40	Wood frame (with special connections)	<input type="checkbox"/>
		41	Stud-wall frame with plywood/gypsum board sheathing	<input type="checkbox"/>
		42	Wooden panel walls	<input type="checkbox"/>
Other	Seismic protection systems	43	Building protected with base-isolation systems	<input type="checkbox"/>
		44	Building protected with seismic dampers	<input type="checkbox"/>
	Hybrid systems	45	other (described below)	<input checked="" type="checkbox"/>

The structural system of this building typology consists of 'Tholas' and wooden beams. Tholas, the vertical load-carrying members (columns) which are made of stones and Thatharas (the planks, logs or pieces of wood), are constructed in two different ways: Method I - Unfinished wooden planks (Thatharas), generally of the size 500 x 350 x 100 mm, are placed on the edge of two sides at a distance of 400 mm. In the alternate course, planks are placed across. Same arrangement is repeated till about 2.5 m (height of storey) thus forming a hollow box-like structure (Figure 9). This hollow structure is then hand-packed with stones without any mortar. The Tholas thus formed have an unfinished appearance (Figure 10). Method II - Tholas are constructed by laying wooden planks (Thatharas) and stones at the same time over a single course. There is no mortar but stones are tightly packed in courses with stone chips. The Thatharas are also hewn in such a case. Hence, the overall Thola has a very neat finish (Figure 11). Variant: Twin-Thatharas are also seen in some of the structures (Figure 12). These have two planks in one direction (i.e. along the wall) but three on the other side in the alternate course (i.e. across the width of the wall). Connection between Thatharas: The Thatharas have small holes with wooden pins inserted into them (Figure 13a) so that the planks do not move and retain their position. Another way is having mortise and tenon joints between two planks placed in alternate course (Figure 13b).

3.2 Gravity Load-Resisting System

The main load-bearing system of this building typology consists of 'Tholas' and wooden beams. Tholas are provided at corners and/or ridges of the building and support the horizontal beams which in turn support the inclined rafters and purlins. A positive connection between Tholas and beams has generally not been observed and the beams are simply kept over the Tholas.

3.3 Lateral Load-Resisting System

The resistance to lateral loads is provided by wooden framing or in-plane action of walls. Although these walls are generally made of poor quality material, such as adobe or random rubble, the large cross-sectional area with minimal openings provides adequate lateral resistance if built and maintained well. The lateral load-resisting feature of these buildings are horizontal members (ties) provided at several intermediate levels between the floors to support the walls in out-of-plane action (Figures 14-15). This type of construction known as Kath-Kunni has been traditionally used in the northern states Himachal Pradesh and Uttarakhand and has been presented in the WHE report #150 (Rautela et al. 2009). In some cases, Dhajji-Diwari construction (Figure 16, see WHE report #146; Hıçılmaz et al. 2012) is also used for partition, in which diagonal braces are used in wooden frame. In the uppermost storey, generally wooden frames and planks are used as partition material to reduce the seismic weight of the building. The original construction practice involving use of wooden planks for roof covering was also motivated from the concept of reducing mass at the top. In verandahs, where larger openings are required, wooden frames are used in place of masonry walls. These wooden frames result in reduced seismic mass and better lateral load resistance.

3.4 Building Dimensions

The typical plan dimensions of these buildings are: lengths between 3 and 10 meters, and widths between 5 and 8 meters. The building has 2 to 3 storey(s). The typical span of the roofing/flooring system is 2 -10 meters. For the beams the whole tree trunk is generally used. The typical storey height in such buildings is 2.50 meters. The typical structural wall density is more than 20 %.

3.5 Floor and Roof System

Material	Description of floor/roof system	Most appropriate floor	Most appropriate roof
Masonry	Vaulted	<input type="checkbox"/>	<input type="checkbox"/>
	Composite system of concrete joists and masonry panels	<input type="checkbox"/>	<input type="checkbox"/>
Structural concrete	Solid slabs (cast-in-place)	<input type="checkbox"/>	<input type="checkbox"/>
	Waffle slabs (cast-in-place)	<input type="checkbox"/>	<input type="checkbox"/>
	Flat slabs (cast-in-place)	<input type="checkbox"/>	<input type="checkbox"/>
	Precast joist system	<input type="checkbox"/>	<input type="checkbox"/>
	Hollow core slab (precast)	<input type="checkbox"/>	<input type="checkbox"/>
	Solid slabs (precast)	<input type="checkbox"/>	<input type="checkbox"/>
	Beams and planks (precast) with concrete topping (cast-in-situ)	<input type="checkbox"/>	<input type="checkbox"/>
	Slabs (post-tensioned)	<input type="checkbox"/>	<input type="checkbox"/>
Steel	Composite steel deck with concrete slab (cast-in-situ)	<input type="checkbox"/>	<input type="checkbox"/>
Timber	Rammed earth with ballast and concrete or plaster finishing	<input type="checkbox"/>	<input type="checkbox"/>
	Wood planks or beams with ballast and concrete or plaster finishing	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Thatched roof supported on wood purlins	<input type="checkbox"/>	<input type="checkbox"/>
	Wood shingle roof	<input type="checkbox"/>	<input type="checkbox"/>
	Wood planks or beams that support clay tiles	<input type="checkbox"/>	<input type="checkbox"/>
	Wood planks or beams supporting natural stones slates	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Wood planks or beams that support slate, metal, asbestos-cement or plastic corrugated sheets or tiles	<input type="checkbox"/>	<input type="checkbox"/>
	Wood plank, plywood or manufactured wood panels on joists supported by beams or walls	<input type="checkbox"/>	<input checked="" type="checkbox"/>

3.6 Foundation

Type	Description	Most appropriate type
Shallow foundation	Wall or column embedded in soil, without footing	<input type="checkbox"/>
	Rubble stone, fieldstone isolated footing	<input type="checkbox"/>
	Rubble stone, fieldstone strip footing	<input checked="" type="checkbox"/>
	Reinforced-concrete isolated footing	<input type="checkbox"/>
	Reinforced-concrete strip footing	<input type="checkbox"/>
	Mat foundation	<input type="checkbox"/>
	No foundation	<input type="checkbox"/>
Deep foundation	Reinforced-concrete bearing piles	<input type="checkbox"/>
	Reinforced-concrete skin friction piles	<input type="checkbox"/>
	Steel bearing piles	<input type="checkbox"/>
	Steel skin friction piles	<input type="checkbox"/>
	Wood piles	<input type="checkbox"/>
	Cast-in-place concrete piers	<input type="checkbox"/>
	Caissons	<input type="checkbox"/>

Foundation is entirely made up of dry stones. Trench of 1 to 1.5m depth, depending upon the type of soil, and 500 mm in width is dug and courses of stones are laid generally without any mortar, which rises up to 500 mm above ground level (Figure 17).



Figure 9. Hollow box-like frame (Thola) for Thathara construction.



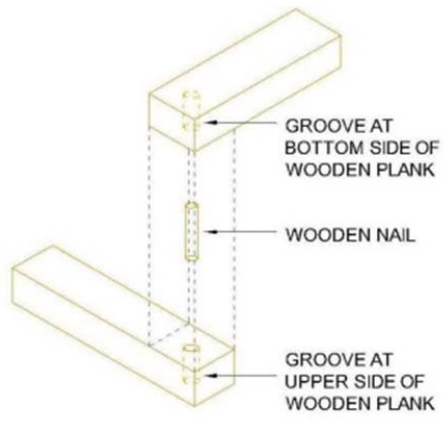
Figure 10. Thola with hand-packed stones.



Figure 11. Thola with stone and planks laid together.



Figure 12. A twin-Thola.



(a)



(b)

Figure 13. Connection principle of the Thola: (a) Joint detail of two Thatharas using wooden pins, (b) mortise and tenon joints.



Figure 14. Stones with mud mortar as infill material.



Figure 15. Wooden planks as infill in the upper storey - village Bharmour, Chamba district (H.P.).



Figure 16. Dhajji-Dewari partitions, diagonal bracings made of wood.



Figure 17. Stone foundation rising above ground level, in a typical Thathara house - village Rohta, Chamba district (Himachal Pradesh).

4. Socio-Economic Aspects

4.1 Number of Housing Units and Inhabitants

Each building typically has 1 housing unit(s). Normally, one family occupies one building. The number of inhabitants in a building during the day or business hours is less than 5. The number of inhabitants during the evening and night is 5-10.

4.2 Patterns of Occupancy

In large houses, the ground floor is generally used for cattle or as storage space. The room located immediately behind the verandah is the largest room and serves as living room. The kitchen is always on the top floor which is an attic space. Kitchens have a fireplace (Chulah) for cooking and the smoke escapes through gaps between the slates (wooden planks) covering the roof. Sometimes in day time one or two slates are rotated to make more room for smoke to escape. Thus, the attic provides the appropriate place for the kitchen.

4.3 Economic Level of Inhabitants

Income class	Most appropriate type
a) very low-income class (very poor)	<input checked="" type="checkbox"/>
b) low-income class (poor)	<input checked="" type="checkbox"/>
c) middle-income class	<input checked="" type="checkbox"/>
d) high-income class (rich)	<input type="checkbox"/>

Nowadays, the economic level of most of the inhabitants ranges between poor and middle class. However, at the time of construction, the builders definitely belonged to the wealthier social class. Even the palace in earlier times was built in Thathara style and so were the bridges.

Ratio of housing unit price to annual income	Most appropriate type
5:1 or worse	<input checked="" type="checkbox"/>
4:1	<input type="checkbox"/>
3:1	<input type="checkbox"/>
1:1 or better	<input type="checkbox"/>

What is a typical source offinancing for buildings of this type?	Most appropriate type
Owner financed	<input checked="" type="checkbox"/>
Personal savings	<input checked="" type="checkbox"/>
Informal network: friends and relatives	<input checked="" type="checkbox"/>
Small lending institutions / micro-finance institutions	<input type="checkbox"/>
Commercial banks/mortgages	<input type="checkbox"/>
Employers	<input type="checkbox"/>
Investment pools	<input type="checkbox"/>
Government-owned housing	<input type="checkbox"/>
Combination (explain below)	<input type="checkbox"/>
other (explain below)	<input type="checkbox"/>

There are neither bathrooms nor toilets in these buildings. People take their bath in a corner of the kitchen itself which is provided with drainage. Nowadays, toilets are constructed as a separate small unit away from the house. However, originally no provisions for toilets were foreseen. .

4.4 Ownership

The type of ownership or occupancy is individual ownership.

Type of ownership or occupancy?	Most appropriate type
Renting	<input type="checkbox"/>
Outright ownership	<input type="checkbox"/>
Ownership with debt (mortgage or other)	<input type="checkbox"/>
Individual ownership	<input checked="" type="checkbox"/>
Ownership by a group or pool of persons	<input type="checkbox"/>
Long-term lease	<input type="checkbox"/>
other (explain below)	<input type="checkbox"/>

5. Seismic Vulnerability

5.1 Structural and Architectural Features

Structural/ Architectural Feature	Statement	Most appropriate type		
		True	False	N/A
Lateral load path	The structure contains a complete load path for seismic force effects from any horizontal direction that serves to transfer inertial forces from the building to the foundation.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Building Configuration	The building is regular with regards to both the plan and the elevation.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Roof construction	The roof diaphragm is considered to be rigid and it is expected that the roof structure will maintain its integrity, i.e. shape and form, during an earthquake of intensity expected in this area.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Floor construction	The floor diaphragm(s) are considered to be rigid and it is expected that the floor structure(s) will maintain its integrity during an earthquake of intensity expected in this area.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Foundation performance	There is no evidence of excessive foundation movement (e.g. settlement) that would affect the integrity or performance of the structure in an earthquake.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Wall and frame structures - redundancy	The number of lines of walls or frames in each principal direction is greater than or equal to 2.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Wall proportions	Height-to-thickness ratio of the shear walls at each floor level is: Less than 25 (concrete walls); Less than 30 (reinforced masonry walls); Less than 13 (unreinforced masonry walls);	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Foundation-wall connection	Vertical load-bearing elements (columns, walls) are attached to the foundations; concrete columns and walls are doweled into the foundation.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Wall-roof connections	Exterior walls are anchored for out-of-plane seismic effects at each diaphragm level with metal anchors or straps	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Wall openings	The total width of door and window openings in a wall is: For brick masonry construction in cement mortar : less than 1/2 of the distance between the adjacent cross walls; For adobe masonry, stone masonry and brick masonry in mud mortar: less than 1/3 of the distance between the adjacent cross walls; For precast concrete wall structures: less than 3/4 of the length of a perimeter wall.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quality of building materials	Quality of building materials is considered to be adequate per the requirements of national codes and standards (an estimate).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Quality of workmanship	Quality of workmanship (based on visual inspection of few typical buildings) is considered to be good (per local construction standards).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Maintenance	Buildings of this type are generally well maintained and there are no visible signs of deterioration of building elements (concrete, steel, timber)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

5.2 Seismic Features

Structural Element	Seismic Deficiency	Earthquake Resilient Features	Earthquake Damage Patterns
Wall	Generally of poor quality material, without any reinforcement.	Horizontal wooden members (similar to ties, known as 'Kath-Kunni' in local language) to protect the walls in out-of-plane action and small window openings. In some cases wooden bracings (known as 'Dhaji-Diwari' in local language) is also used.	No records available.
Frame (columns, beams)	No positive moment connection between columns (tholas) and beams.	Enlarged cross-section of wooden columns (Tholas) results in enhanced lateral resistance.	No records available.
Roof and floors	No cross bracings provided in floors/roofs, no ties in sloping roof, no anchorage of roof/floor with walls, even in newer constructions.	Light-weight wooden plank covering and A-shaped bracing in old constructions.	No records available.
Columns (Tholas)	Packed with dry stones without mortar.	Interconnected Thatharas (wooden planks constituting Tholas) provide enhanced lateral resistance.	No records available.

5.3 Overall Seismic Vulnerability Rating

The overall rating of the seismic vulnerability of the housing type is D: MEDIUM-LOW VULNERABILITY (*i.e., good seismic performance*), the lower bound (*i.e., the worst possible*) is C: MEDIUM VULNERABILITY (*i.e., moderate seismic performance*), and the upper bound (*i.e., the best possible*) is E: LOW VULNERABILITY (*i.e., very good seismic performance*).

Vulnerability	High	medium-high	medium	medium-low	low	very low
	very poor	poor	moderate	good	very good	excellent
Vulnerability Class	A	B	C	D	E	F
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

5.4 History of Past Earthquakes

Date	Epicenter, region	Magnitude	Max. Intensity
1720	Kumaun	> 8.0	
1803	Garwhal	Mw 8.09	
1897	Shillong Plateau (Assam)	Mw 8.1	
1905	Kangra	M 7.8	VIII
1934	Bihar/Nepal	Ms 8.1	
1950	Assam	M 8.6	XI
1991	Garhwal (epicenter: Almora, 170 km distance to Uttarkashi)	mb 6.1 (IMD), Ms 7.1 (USGS)	I (MMI) = VIII
1999	Chamoli (Gharwal region)	Ms 6.6, ml 6.8 (IMD), mb 6.3 (USGS)	I (MMI) = VIII

Comment on structural vulnerability: The vulnerability of these buildings may vary from case to case, mostly dependent on the type and quality of infill material between the Tholas. Generally, no reports on the behavior of these buildings during past earthquakes are available. It may only be suspected that they behaved fairly well as a number of larger earthquakes have happened in the past. An allocation of vulnerability class E can therefore be seen as the best case. However, due to the use of random shaped stones, lack of connections between columns and beams as well as lack of roof bracing, the vulnerability may increase significantly leading to classes B, C or D.

6. Construction

6.1 Building Materials

Structural element	Building material	Characteristic strength	Mix proportions/dimensions	Comments
Walls	Deodar or Kail wood, locally available stones and mud	Highly varying quality and strength.	No standard mix proportions. Thickness varies from one member to another. The wooden planks are just a few centimeters thick, whereas the walls are up to 500 mm thick.	A variety of locally available materials is used.
Foundation	Stone - generally dry-packed	Difficult to estimate, as it is just dry-stone packed in a trench in the ground.	Width of foundation about 500 mm.	Being hilly terrain, rock may be found at shallow depth in some cases.
Frames (beams & columns)	Wood and stones	Highly varying quality and strength.	The columns (tholas) are 500 x 500 mm in cross-section, whereas beams are 6 to 10 m long.	No moment bearing connection between beams and columns.
Roof and floor(s)	Wood shingles, slates	Highly varying quality and strength.	In floors, 20 mm thick wooden planks are covered with 25 mm thick mud plaster.	Slates are used as roof covering. Both gable and hipped roofs are used.

6.2 Builder

The construction is carried out under the surveillance of the owner himself.

6.3 Construction Process, Problems and Phasing

Wall system: The wall system is framed structure in which the columns (Tholas) are of 'Thathara' style. Beams are of Deodar or Kail wood, sometimes of the tree trunk itself. The partition walls are a variety of construction types either of stone, wood or both. In some cases it was observed that the walls of the lower storey are entirely made of stone and hence are load bearing, while the upper storeys have Tholas with partitions of wooden planks (Figure 18). Construction methodology of walls: Step I: Above the raised plinth of stones 'Tholas' (columns) are constructed at the corners. In case of larger spans intermediate 'Tholas' are also provided where the distance between each is about 3.5 m center to center. Step II: Partition walls of different types are then erected between these tholas. These include: (1) Stones - Undressed stones laid in courses with mud mortar and timber runners are used for infill walls in certain structures (Figure 14). These stone infill walls are of 500 mm thickness which is also the width of the Thola. (2) Wood - Wooden planks of 20 mm thickness are also used as partition walls. Usually these wooden planks partitions are used in the topmost storey of the structure in order to make the upper storey lighter and hence reduce the loads on the storey(s) below (Figure 15). (3) Stones and Wood - In some houses, the infill wall between two Tholas is made of both wood and stones. Sometimes there is a diagonal bracing of wood where small stones with mud mortar are packed in the remaining space (Dhaji Diwari; see Figure 16). Usual practice is having wooden battens placed every second or third stone course or more (Kath-Kunni; see Figure 19). Step III: The interiors and exteriors are plastered with a mixture of mud and cow dung (Figure 20). Afterwards, the walls are treated with mud and cow-dung slurry to give the final finish. Both inner and outer surfaces of the wooden walls are also finished with the slurry. In a few cases, houses with exposed (not plastered) exteriors are also seen. The verandah at the ground floor has only wooden posts and no partitions in between. Walls over the verandah i.e. balcony above, are generally made of wooden planks (Figure 21) in order to reduce the load on the verandah posts. In some constructions, Tholas can be seen in the upper storey directly above the posts of the verandah (Figure 22; which is considered a poor construction practice).

Floor system: Ground floor: Wooden planks of thickness 20 mm are laid over rammed earth. These planks are then plastered with mud and finally finished with a mud and cow-dung slurry. The floor is coated with mud and cow-dung slurry every third day. Other floors: The main beam of cross-section 270 x 230 mm rests over the exterior Tholas, spanning over the entire length of the room, i.e. up to 6 m without any joint in between. The distance between two main beams is approximately 3 m from center to center. In the verandah, the main beams are supported by wooden posts (Khambe) of the size 150 x 150 mm at a distance of 1.5 m (Figure 23). In the middle of the room, the main beam is supported by the main wooden post (Thamb) of the size 270 x 270 mm (Figure 24). Secondary beams of 3 m length and 100 x 160 mm cross-section are laid over these main beams at a distance of 400 mm center to center. The gap between two cross-beams is filled with wooden pieces or stones to restrain lateral movement (Figure 25). Wooden planks of the

size 20 x 300 mm and a length of 2.5 m are placed over these cross-beams without any gap in between (Figure 26). These planks are covered with 25 mm thick mud plaster and finished with a slurry of mud and cow-dung mixture. These floors are coated with mud and cow-dung slurry every third day or so in order to keep these clean and repair any small crack and patch that has developed.

Roof system: Thathara houses usually have gable roofs with a slope of about 15-20 (on average 17) degrees. Over the verandah, the roof slope becomes a bit gradual in order to have adequate headroom (Figure 27). Some houses have hipped roofs. Construction methodology of roofs: (1) Gable-end roofs: The most common practice is raising the two opposite Tholas, which are located at the middle, up to the ridge level. The ridge beam (Nhas) is directly placed (without any positive connection) over these Tholas with an intermediate support of the wooden post (Thamb; Figures 28 and 29). The ridge beam is always a single member without any joint in between. Sometimes, this ridge beam is the entire trunk of a tree. Rafters at a distance of around 1 m center to center are laid above (Figure 30), which are connected at one end to the ridge beam and the wall plate (Jail-dal) on the other. Rafters are secured at their place with iron nail connections. These (wooden) wall plates are directly placed over the wall without any connection. Rafters have purlins (Batte) above (Figure 31) which are nailed to them, in order to support the roof covering material (wooden planks or slates). The wooden planks (shingles) or slates are also connected to the purlins with iron nails (Figure 32). (2) Hipped roofs: An A-type frame is constructed and rested over wall plates at a distance of about 1.5 m. Over these constructions, purlins are nailed at a distance depending upon size of slates. Slates are nailed to these purlins (Figures 33 and 34). The slates are generally of the following sizes: 150 x 300 mm (6 x 12"), 175 x 350 mm (7 x 14"), 200 x 400 mm (8 x 16"), 225 x 450 mm (9 x 18"), and 250 x 500 mm (10 x 20"). (3) Flat roofs: In a few cases an additional single storey unit is attached to the side of the main house, mainly for cattle or storage (Figure 35). These units have flat roofs (Figure 36) made of wood covered with mud. The main wooden beams are supported over Tholas at the corners. Secondary beams are placed over these, nearly touching each other and then 20 mm thick wooden planks are laid across over these secondary beams. This is covered with a 150 mm thick layer of mud. (Note: All the dimensions given here are typical ones and one might find slightly varying dimensions.)

The construction of this type of housing takes place in a single phase.

Typically, the building is originally designed for its final constructed size.

6.4 Design and Construction Expertise

Mostly, the construction is carried out by the owners themselves with the help of fellow villagers/relatives. The construction of a house is often a community effort. Local masons are also involved in the construction who inherited their skills from their fathers. Architects and engineers have no role in the design or construction of this housing typology.

6.5 Building Codes and Standards

This construction type is not addressed by the codes/standards of the country.

6.6 Building Permits and Development Control Rules

This type of construction is a non-engineered, and authorized as per development control rules.

In India no development rules or building permit process exist in rural areas for this building typology. Hence, building permits are not required to build this housing type and thus are authorized.

6.7 Building Maintenance

Typically, the building of this housing type is maintained by Owner(s). These structures have been standing for more than 200 years with some regular maintenance. Exterior walls need to be plastered every year after the rainy season in order to take care of any cracks or damage, whereas finished with slurry of mud and cow dung every month for cleanliness. For the same reason, interior walls are finished with mud and cow-dung every week or as per availability of time.

6.8 Construction Economics

Today the construction of these buildings would be probably too inefficient due to the high timber prices and unavailability of necessary construction skills. It is supposed that several tens of workers had been required to build these structures. Obviously, the erection of these structures had been a community effort.



Figure 18. Thathara house with ground storey entirely made of stone.



Figure 19. Horizontal wooden courses in between courses of stone.



(a)



(b)

Figure 20. (a) Mud plastered exteriors of a Thathara house, (b) a mud plastered Thola.



Figure 21. A house with verandah where walls in storeys above verandah are entirely in wood with large openings.



Figure 22. A house where the Thola can be seen above the wooden posts of the verandah.



Figure 23. Main beam supported over the posts (Khambe) in the verandah and secondary beams also laid over the main beam.



Figure 24. Main beam supported over the wooden post (Thamb) in the middle of the room.



Figure 25. Stones filled in the gap between the secondary beams.



Figure 26. Planks laid over secondary beams.



Figure 27. Change in roof angle over the verandah.



Figure 28. Gable end roof where ridge beam (Nhas) is supported over the Thamb in the center and the Thola at the gable end.



Figure 29. Main beam (Nhas) supported by the exterior column (Thola).



Figure 30. Main beam (Nhas) supported over intermediate wooden post (Thamb).



Figure 31. Thola supporting the ridge beam; rafters, purlins and slates are also visible.



Figure 32. Slates nailed to purlins.



Figure 33. A Thathara house with hipped roof.



Figure 34. A-type roof frames.



Figure 35. A cattle shed.



Figure 36. A flat roof unit.

7. Insurance

Earthquake insurance for this construction type is typically unavailable. For seismically strengthened existing buildings or new buildings incorporating seismically resilient features, an insurance premium discount or more complete coverage is unavailable.

In India, earthquake insurance for any type of construction is not common.

8. Strengthening

8.1 Description of Seismic Strengthening Provisions

The main vulnerable feature of these buildings is the absence of positive connections between the beams (Nhas) and the columns (Tholas). This could be achieved by providing wooden members and metal strips at the connections. Further weakness features of these buildings are (i) that the floors and roofs are typically flexible in plane, (ii) the absence of any anchorage to the walls, and (iii) the lack of bracings in the sloping roofs. This can be achieved by: (i) providing another layer of wooden planks on the existing suspended floors. The new layer should be oriented across or diagonally to the existing layer of planks, or (ii) providing diagonal bracing (wooden or metallic) between the main and the secondary beams, (iii) providing diagonal bracing in the plane of the sloping roof, and (iv) anchoring the floor/roof to the walls using grouted bolts or metal strips nailed to the horizontal members in the walls. Wherever horizontal wooden members are missing in the walls, external members of wood or ferro-cement with diagonal bracing can be provided (Figure 37). (Actually, no standard practice or code for strengthening of such buildings exists. These are the strengthening measures proposed by the authors.)

8.2 Seismic Strengthening Adopted

Has seismic strengthening described in the above table been performed in design and construction practice, and if so, to what extent?

No strengthening or retrofitting measures, as described in the previous subchapter, or otherwise, were actually observed during an extensive survey of these buildings. The reason for this may lie in the fact that this construction typology evolved over centuries accounting for the experienced performance during earthquake action and thus had been optimized, as per understanding of the seismic behavior at that time. Recently, no effort by the community or the government has been taken to preserve or retrofit this type of housing. All modifications observed in the existing buildings (e.g. replacement of traditional wooden frame partitions with brick masonry walls) rather modify their seismic behavior than can be seen as a real strengthening or retrofitting measure.

8.3 Construction and Performance of Seismic Strengthening

Was the construction inspected in the same manner as the new construction?

Since no strengthening has been actually done in practice, their performance neither could be empirically identified nor analytically investigated.

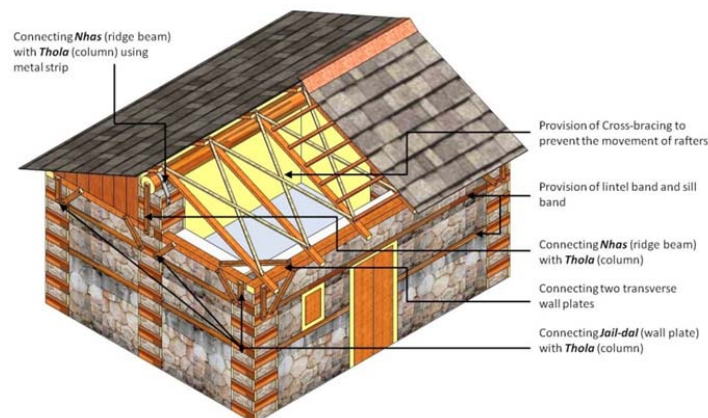


Figure 37. Seismic strengthening provisions for Thathara buildings.

References

1. Timber-reinforced Stone Masonry (Koti Banal Architecture) of Uttarakhand and Himachal Pradesh, Northern India. Rautela, P., Girish, J., Singh, Y., and Lang, D.H. (2011)
Report no. 150, World Housing Encyclopedia, Earthquake Engineering Research Institute, United States.
2. Dhajji-Dewari
Hicyilmaz, K., Bothara, J., and Stephenson, M. (2012)
Report no. 146, World Housing Encyclopedia, Earthquake Engineering Research Institute, United States.

Authors

1. Aditya Rahul
M.Arch. student, Department of Architecture and Planning, Indian Institute of Technology Roorkee (IITR)
Roorkee 247667, INDIA
Email: aditrahul@gmail.com
2. Ankita Sood
MURP student, Department of Architecture and Planning, Indian Institute of Technology Roorkee (IITR)
Roorkee 247667, INDIA
Email: ankita.sood87@gmail.com
3. Yogendra Singh
Associate Professor, Dept. of Earthquake Engineering, Indian Institute of Technology Roorkee
Roorkee 247 667, INDIA
Email: yogenfeq@iitr.ernet.in
4. Dominik Lang
Senior Research Engineer
NORSAR
P.O. Box 53, Kjeller 2027, NORWAY
Email: dominik@norsar.no
Fax: +47-63818719

Reviewers

1. Jitendra K. Bothara
Principal Seismic Engineer
AECOM
Christchurch 8140, NEW ZEALAND
Email: jitendra.bothara@accomm.com
FAX: +64 3 363 8501
2. Kubilay Hicyilmaz
Associate Director - Structural
Arup Gulf Ltd.
Dubai P.O. BOX, 212416, UNITED ARAB EMIRATES
Email: kubilay.hicyilmaz@arup.com